3.11   Form/Genre Headings

Overview

MARS processing can match bibliographic form/genre headings tagged as 655 fields against a variety of authority files. MARC 655 fields are available for libraries to record the genre, form, and/or physical characteristics of the materials being described. Standard published lists are used as sources for the form and genre terms. The source of each heading is designated by an abbreviation entered into a subfield ‡2 (Source of term). The abbreviations for sources currently authorized are contained in the "Subject/Index Term Sources" section of the *MARC Code List for Relators, Sources, Description Conventions*.

If your library does not use 655 fields in your bibliographic records, or if bibliographic 655 fields are not included in an index in your OPAC, just select the first option, "Do not process - Ignore completely" for question 3-10.

Fields matched

In some subject heading systems, such as Library of Congress Subject Headings (LCSH), certain genre and form terms are authorized for use in ‡v subfields in topical subject headings (650 fields). Standard MARS authority control processing updates form and genre terms recorded in 650‡v subfields.

The form/genre authority control processing described in this section updates form genre terms only when recorded in 655 fields.

Source: GSAFD

MARS currently provides authority control for terms extracted from three of the most commonly used sources of form and genre terms. The following example shows an update for a genre term extracted from *Guidelines on Subject Access to Individual Works of Fiction, Drama, Etc.* (GSAFD).

Example (GSAFD)

Obsolete heading:	655 7‡aUtopias.‡2gsafd
Updated heading:	655 7‡aUtopian fiction.‡2gsafd

Notice the source of the genre term is indicated by the abbreviation **gsafd** in the ‡2 subfield (second indicator **7** indicates the source is identified in the ‡2 subfield).

MARS Genre Authority Control for GSAFD is available NOW!
MARS Genre Authority Control for MeSH will be available
in January 2003, and for AAT in early 2003!

Source: MeSH

MARS also provides authority control for the list of genre terms authorized by the National Library of Medicine (NLM) for use in 655 fields.The following example shows an update for a MeSH genre term recorded in a 655 form/genre subject field.

Example #1 (MeSH)

Obsolete heading:	655 7‡aLaws.‡2mesh
Updated heading:	655 7‡aLegislation.‡2mesh

In 2002, a change to the MARC 21 standards was authorized that allows identification of MeSH genre terms either by using second indicator 7 plus a ‡2 subfield, or by use of second indicator 2 without a ‡2 subfield. MARS recognizes MeSH genre terms identified by either method, and will retain the method of identification used by the cataloger.Example #2 (MeSH)

Use of ‡2 subfield:	655 7‡aAlmanacs.‡2mesh
Use of 2nd indicator '2':	655 2‡aAlmanacs.

Source: AAT

MARS also provides authority control for form and genre terms authorized for use in Getty's Art & Architecture Thesaurus (AAT). The following example shows an update for an AAT form term based on a cross-reference in a Getty AAT authority record.

Example (AAT)

Obsolete heading:	655 7‡acatalogs, on-line.‡2aat
Updated heading:	655 7‡aonline catalogs.‡2aat

Source: LCSH

As of late 2002, the Library of Congress had not established any terms for use as form/genre headings in 655 fields. The following information regarding the establishment of genre/form terms is extracted from LC's "Authority Data Elements Implementation" (available at http://www.loc.gov/catdir/cpso/authimp.html) :

Authority records for form/genre headings (155). Creation of authority records for form/genre headings in 155 fields and more widespread assignment of form/genre terms in 655 fields of bibliographic records will occur in future stages of the form/genre implementation plan and may be phased in by format or discipline. The Library is not yet projecting any specific dates for these future stages of implementation.

MARS will provide authority control for LCSH genre/form terms as soon as they are established by the Library of Congress.

Form/genre terms in topical (650) headings

Terms in topical subject headings (650 fields) are always updated in accordance with the practice of the heading system indicated by the second indicator of the field. Updating of 650 fields is controlled by the options selected in profile questions 3-5 through 3-9. Topical subject headings are not affected in any way by the options selected for form/genre headings.

Terms in form/genre headings (655 fields) are always updated in accordance with the practice of the heading system indicated in the ‡2 subfield. In the example below, the 650 field has been updated based on a cross-reference in LC authority record , while the term in the 655 field has been updated based on a cross-reference in the related GSAFD authority record.

Example (GSAFD)

650 obsolete headings:	650 0‡aSitcoms.
650 updated headings:	650 0‡aComedy programs.

655 obsolete headings:	655 7‡aSitcoms.‡2gsafd
655 updated headings:	655 7‡aComedy television programs.‡2gsafd

Profile question 3-10

If your library does not use 655 fields in your bibliographic records, or if bibliographic 655 fields are not included in an index in your OPAC, select the first option, "Do not process - Ignore completely" for question 3-10.

For libraries that provide access to materials using form/genre terms in bibliographic 655 fields, select the question 3-10 options for the types of fields you would like authority controlled.

Form/genre authority records

Section 6.3 of the MARS Planning Guide (page 6:9) describes the options available for distribution of the form/genre authority records matching headings in 655 fields in your bibliographic records.

Form/genre update reports

Updates for form/genre headings are included in the standard MARS N005 and N008 reports (for full and partial flips, respectively). These reports are described in chapter 7 of the MARS Planning Guide.

OC
LC

PROFILE 3
MARS AUTHORITY CONTROL

AUTHORITY CONTROL

3–10. **Genre/Form Heading Matching** (655 fields) (*check all desired*)		*Guide Page 3:40*
☐ Do not process - Ignore completely	☐ Process / MeSH	
☒ Process / GSAFD	☒ Process / AAT	

6.3 Form/Genre Authority Records

Overview

MARS provides authority control for form and genre headings in 655 fields as described in section 3.11. The MARC 21 authority records matching form/genre headings in a library's bibliographic records can be provided by OCLC MARS. If your library does not use 655 fields in your bibliographic records, or if bibliographic 655 fields are not included in an index in your OPAC, just select the first option, "Not applicable - Genre Authority Records not desired" for question 6-8.

Sources

MARS can provide authority records for those form/genre source thesauri selected for processing in profile question 3-10.

Profile question 6-8

Indicate if you would like your form/genre authority records included in the related authority file, or distributed in a separate file of authority records. For example, if a library has opted to have their MeSH genre headings (655 fields) updated by MARS authority control, they could have the related MeSH genre authority records distributed as part of their MeSH subject authority file by selecting the second option in question 6-8. Alternately, the library could have the MeSH genre authority records distributed in a separate file (i.e., MeSH topical subject authority records in one file and genre authority records in a separate file) by selecting the third option in question 6-8.

MeSH genre authority records

Normally, OCLC MARS provides authority records in the same form as received from the agency that created them. An exception is made for MeSH genre authority records received from the National Library of Medicine (NLM). NLM includes the qualifier [Publication Type] as part of the established headings in MeSH genre authority records. This qualifier is not intended to be retained as part of the heading in a bibliographic record. Information describing the use of genre headings in MeSH subject headings can be found in the *Online introductory material* section of the NLM MeSH web site (http://www.nlm.nih.gov/mesh/meshhome.html).

Example:

Authority record:	155 ‡aFestschrift [Publication Type]
Bibliographic record:	655 7‡aFestschrift.‡2mesh

Inclusion of the the qualifier **[Publication Type]** in the 155 field of an authority record creates linking and/or updating problems in a number of local systems. In some systems, the qualifier will prevent the bibliographic and authority headings above from linking. In other systems, based on the first cross-reference in the authority record below (e.g., **455 $wnnna$aFestschrift**), the local systems will automatically flip a correct bibliographic heading from **655 7‡aFestschrift.‡2mesh** to the incorrect form **655 7‡aFestschrift [Publication Type].‡2mesh**.

In order to prevent the problems described above, OCLC MARS creates an additional cross-reference (455 field) in MeSH genre (155) authority records containing the established heading plus the qualifier **[Publication Type]**, and removes the qualifier from the established heading (155 field). Examples of these changes are shown on the next page.

Example #1 - MeSH Authority Records Distributed by NLM

```
001  D016221
003  DNLM
005  20001108000000.0
008  950623 n ancnnbabn     ¦¦ ana   b
040  $aDNLM$cDNLM
155  $aFestschrift [Publication Type]
455  $wnnna$aFestschrift
455  $wnnna$aFestschrift (PT)
455  $wnnna$aFestschriften
455  $wnnna$aFestschriften (PT)
667  $apublication type only; check appropriate hist tags; Manual 32.18.8
680  $iA collection of essays or other writings contributed by students, teachers,
colleagues, and admirers to honor a scholar, physician, or other scientist on a special
occasion noting an event of importance in his or her life.
688  $a91
```

Example #1 - MeSH Authority Records Distributed by OCLC MARS

```
001  D016221
003  DNLM
005  20001108000000.0
008  950623 n ancnnbabn     ¦¦ ana   b
040  $aDNLM$cDNLM
155  $aFestschrift
455  $aFestschrift [Publication Type]
455  $wnnna$aFestschrift (PT)
455  $wnnna$aFestschriften
455  $wnnna$aFestschriften (PT)
667  $apublication type only; check appropriate hist tags; Manual 32.18.8
680  $iA collection of essays or other writings contributed by students, teachers,
colleagues, and admirers to honor a scholar, physician, or other scientist on a special
occasion noting an event of importance in his or her life.
688  $a91
```

OCLC |||||

PROFILE 6
MARS AUTHORITIES DISTRIBUTION FILES

AUTHORITY RECORD DISTRIBUTION FILES

6-8. Form/Genre Authority Records (*check one*)   *Guide Page 6:9*

☐ Not applicable - Genre Authority Records not desired

☒ Distribute Genre Authority Records as part of the appropriate subject file (e.g., MeSH genre records in the MeSH authority file)

☐ Distribute Genre Authority Records in separate authority files